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- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

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## PATENT COOPERATION TREATY

# **PCT**

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 24589 MRB		Transmittal of International Search Report  0) as well as, where applicable, item 5 below.
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/NZ 99/00085	15 June 1999	15 June 1998
Applicant NEURONZ LIMITED (et a	1)	_
This international search report has been prep 18. A copy is being transmitted to the Interna-	pared by this International Searching Authority ational Bureau.	and is transmitted to the applicant according to Article
This international search report consists of a	total of 4 sheets.	
It is also accompanied by a	copy of each prior art document cited in this re	port
1. Basis of the report		
which it was filed, unless otherwi	ise indicated under this item.	is of the international application in the language in
(Rule 23.1(b)).		ne international application furnished to this Authority
b. With regard to any nucleotide are the international search was carri	nd/or amino acid sequence disclosed in the in ed out on the basis of the sequence listing:	ternational application, the international application,
contained in the internation	onal application in written form.	
filed together with the int	ternational application in computer readable fo	rm.
furnished subsequently to	this Authority in written form.	
	this Authority in computer readable form.	
lliention on filed has b	een firmished	oes not go beyond the disclosure in the international is identical to the written sequence listing has been
2. Certain claims were four	nd unsearchable (See Box I).	
3. Unity of invention is lack	ting (See Box II).	· · · · · · · · · · · · · · · · · · ·
4. With regard to the title,	the text is approved as submitted by the ap	plicant.
	the text has been established by this Autho	rity to read as follows:
5. With regard to the abstract, X	the text is approved as submitted by the app	licant
	the text has been established, according to R The applicant may, within one month from submit comments to this Authority.	tule 38.2(b), by this Authority as it appears in Box III. the date of mailing of this international search report,
6. The figure of the drawings to be pub	lished with the abstract is Figure No.	
	as suggested by the applicant.	X None of the figures
	because the applicant failed to suggest a fig	
	because this figure better characterizes the i	invention

International application No.
PCT/NZ 99/00085

ту.		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	299/00085
Α.	CLASSIFICATION OF SUBJECT MATTER		
Int Cl <sup>6</sup> :	A61K 38/06, 38/05		
According to I	nternational Patent Classification (IPC) or to both national cl	assification and IPC	
В.	FIELDS SEARCHED		
Minimum doc	umentation searched (classification system followed by class	ification symbols)	
IPC:	A61K 38/06, 38/05, 37/02		
Documentation AU:	n searched other than minimum documentation to the extent IPC as above	that such documents are included in the	fields searched
Electronic data WPAT: CAPLUS:	a base consulted during the international search (name of data [A61K 38/06, 38/05, 37/02 and ((GPE or GLY() Gly-Pro-Glu, GPE and PARKINSON	a base and, where practicable, search te PRO() GLU) and (Glycine and	rms used) Proline and Glutam:)]
C.	DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where approp	priate, of the relevant passages	Relevant to claim No.
X	WO 95/17204 A (AUCKLAND UNISERVICE Whole document, page 12, line 35	ES LIMITED) 29 June 1995	1-12
A	WO 98/14202 A (AUCKLAND UNISERVICE Whole document	ES LIMITED) 9 April 1998	1-12
A	Vicki R Sara et al (1989) Identification of GLN aminoterminal tripeptide of insulin-like growth brain, as a novel neuroactive peptide, Biochem Communications, Volume 165, No. 2, pages 7 Whole document	n factor-1 which is fruncated in lical and Biophysical Research	1-12
X	Further documents are listed in the continuation of Box C	X See patent family a	nnex
"A" Doc not 'E" earl inte 'L" doc or v ano 'O' doc 'O' doc 'O' 'P" doc ''P"	ument defining the general state of the art which is considered to be of particular relevance ier application or patent but published on or after the mational filing date ument which may throw doubts on priority claim(s) which is cited to establish the publication date of ther citation or other special reason (as specified) ument referring to an oral disclosure, use, exhibition ther means ument published prior to the international filing date "&"	later document published after the in priority date and not in conflict with understand the principle or theory ur document of particular relevance; the considered novel or cannot be conventive step when the document is document of particular relevance; the considered to involve an inventive combined with one or more other su combination being obvious to a persidocument member of the same pater	the application but cited to iderlying the invention e claimed invention cannot insidered to involve an taken alone e claimed invention cannot e step when the document ch documents, such ion skilled in the art int family
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PO BOX 20 WODEN A E-mail add	CT 2606 AUSTRALIA	SHUBHRA CHANDRA Telephone No.: (02) 6283 2264	

International application No.
PCT/NZ 99/00085

(Continuat	ion). DOCUMENTS CONSIDERED TO BE RELEVANT	<del></del>
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Α	J SAURA et al (1999) Neuroprotective Effects of GLY-PRO-GLU, the N-terminal tripeptide of IGF-1, in the hippocampus in vitro, Neuroreport, Volume 10, No. 1, pages 161-164 Whole document	1-12
Α	LENA NILSSON-HAKANSSON et al (1993) Effects of IGF-1, truncated IGF-1 and the tripeptide Gly-Pro-Glu on acetylcholine release from parietal cortex of rat brain, Neuroreport, Volume 4, pages 1111-1114 Whole document	1-12
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Information on patent family members

International application No. PCT/NZ 99/00085

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Doo	cument Cited in Search	*	Patent Family Member				
	Report			<u> </u>			
wo	95/17204	AU	13281/95	CA	2178711	CN	1142770
•		EP	735894				
WO	98/14202	AU	46391/97	EP	929313		

END OF ANNEX



## SUPPLEMENTARY EUROPEAN SEARCH REPORT

Application Number EP 99 92 8258

		ered to be relevant ndication, where appropriate,	Relevant	CLASSIFICATION OF THE
Category	of relevant pas		to claim	APPLICATION (Int.Cl.6)
A	truncated insulin-1		1-6	A61K38/06 A61K38/05
D,A	EP 0 366 638 A (KAE 2 May 1990 (1990-05 the whole document		1-6	
				TECHNICAL FIELDS SEARCHED (Int.Cl.6)
			, .	A61K C07K
				1
	The supplementary search rep set of claims valid and available	ort has been based on the last e at the start of the search.		·
	Place of search	Date of completion of the search 18 July 2001		hmerova, E

T: theory or principle underlying the invention
E: earlier patent document, but published on, or after the filing date
D: document cited in the application
L: document cited for other reasons

& : member of the same patent family, corresponding

RM 1503 03.82 (P04C04)

CATEGORY OF CITED DOCUMENTS

Description
 Superscription
 Superscript

A: technological background

O: non-written disclosure P: intermediate document

## ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 99 92 8258

This annex lists the patent family members relating to the patent documents cited in the above–mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

18-07-2001

F	Patent document ed in search report	Publication date	Patent fami member(s	ily )	Publication date
EP	0366638 A	02-05-1990	CA 20014 JP 22508 SE 88038	95 A	27-04-1990 08-10-1990 27-10-1988
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## From the INTERNATIONAL SEARCHING AUTHORITY

To:

HELLER EHRMAN WHITE & MCAULIFFE LLP Attn. Chow, Ping Y. 275 Middlefield Road

Menlo Park, CA 94025-3506 UNITED STATES OF AMERICA

RECEIVED

AUG 15 2002

HEWM LLP - P.A

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

(PCT Rule 44.1)

See paragraphs 1 and 4 below

Date of mailing (day/month/year)

(day/month/year)

12/08/2002

Applicant's or agent's file reference

37522-1002P2

International application No.

PCT/US 02/16609

Applicant

NEURONZ LIMITED

FOR FURTHER ACTION	Ą
international filing date	_

23/05/2002

The applicant is he explicated in the international Search Report has been established and is transmitted herewith.

Filing of amendments and statement under Article 19:

The applicant is entitled, if he so wishes, to amend the claims of the International Applicant (see Rule 46):

When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.

Where? Directly to the

International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Fascimile No.: (41-22) 740.14.35

For more detailed instructions, see the notes on the accompanying sheet.

The applicant is hereby notified that no International Search Report will be established அடிந்தந்<u>e declaration under</u> Article 17(2)(a) to that effect is transmitted herewith.

With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. Further action(s): The applicant is reminded of the following:

Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the International Searching Authority

European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk

Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.

Fax: (+31-70) 340-3016

Authorized officer

Dominique Hundt

Form PCT/ISA/220 (July 1998)

## NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

# INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international polication. Furthermore, it should be emphasized that provisional protection is available in some States only.

# What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

## Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been its filed, see below.

### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

# What documents must/may accompany the amendments?

## Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French.

Notes to Form PCT/ISA/220 (first sheet) (January 1994)

# NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- the claim is unchanged;
- (ii) the claim is cancelled;
- the claim is new;
- (iv) the claim replaces one or more claims as filed;
- the claim is the result of the division of a claim as filed.

## The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]: Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged, new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: Claims 1 to 15 replaced by amended claims 1 to 11.
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or \*Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged.
- [Where various kinds of amendments are made]: Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

## "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under

The statement will be published with the international application and the amended claims.

It must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

# Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

# Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's

# CAICNI COOPERATION TREATY

# **PCT**

# INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 37522-1002P2	FOR FURTHER ACTION	see Notification (Form PCT/ISA/2	of Transmittal of Ir 20) as well as, wh	nternational Search Report nere applicable, item 5 below.
International application No. PCT/US 02/16609	International filing date (da	•		ity Date (day/month/year)
Applicant Applicant	23/05/20	02		24/05/2001
NEURONZ LIMITED				
This International Search Report has been according to Article 18. A copy is being tra	and managed	al Searching Auth Bureau.	ority and is transr	nitted to the applicant
This International Search Report consists of the It is also accompanied by a	of a total of4 a copy of each prior art docu	sheets. ment cited in this	report.	
Basis of the report				
<ul> <li>With regard to the language, the ir language in which it was filed, unle</li> </ul>	nternational search was carri ess otherwise indicated unde	ed out on the bas r this item.	is of the internatio	nal application in the
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2. X Certain claims were found	d unsearchable (See Box I).			
3. Unity of invention is lacking	ng (see Box II).			
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because the applicant failed t	to suggest a figure. aracterizes the invention.		لننا	inguico.

Form PCT/ISA/210 (first sheet) (July 1998)

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61K38/06 A61P25/28

According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{Minimum documentation searched} & \mbox{(classification system followed by classification symbols)} \\ IPC 7 & A61K & A61P \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, MEDLINE, CHEM ABS Data

Category •	Citation of document, with indication, where appears		
<b> </b>	Citation of document, with indication, where appropri	ate, of the relevant passages	
Υ	WO 95 17204 A (AUGUS)		Relevant to claim t
	WO 95 17204 A (AUCKLAND UN; GLUCKMAN PETER DAVID (NZ) 29 June 1995 (1995-06-29) * see claims 1-9, abstract page 4 line 25 to page 5 li	; WILLIAMS CHRI)	1-7
X	WO 99 65509 A (ALEXI TAJREN (NZ); NEURONZ LIMITED (NZ); 23 December 1999 (1999-12-2 * see claim 12, pages 2, 5-	NA ;GUAN JIAN GLUCKMAN PETE) 3) 6, example 1 *	1-7
Y	2 May 1990 (1990-05-02) * see claims 1 and 8, col. 1	l lines 34-54 +	1-7
	US 5 804 550 A (BOURGUIGNON 8 September 1998 (1998-09-08 * see col. 1 line 62 to col.	JP)	1-7
Special categor	ocuments are listed in the continuation of box C. ries of cited documents:	Patent family members are listed in	
earlier docum filing date document which which is cited citation or off document refe other means document publiater than the	offining the general state of the art which is not to be of particular relevance the particular relevance the particular relevance the particular relevance the properties of the published on or after the international relational filling to exact the proof of the pr	<ul> <li>"T" later document published after the intern or priority date and not in conflict with the cited to understand the principle or theolinvention</li> <li>"X" document of particular relevance; the claim cannot be considered novel or cannot be involve an inventive step when the document of particular relevance; the claim cannot be considered to involve an inventive document is combined with one or more coments, such combination being obvious to the art.</li> </ul>	med invention a considered to ment is taken alone med invention med invention tive step when the other such docu- 0 a person skilled
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	-	Merckling, V	i

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International Application No

C.(Continue)	International Application No
C.(Continuation) DOCUMENTS CONSIDERED TO BE Category Citation of document	PCT/IIS 02/16600
Category • Citation of document, with indication, where	ppropriate, of the relevant posses
GUAN JIAN (NZ); AUCK 9 April 1998 (1998-04- * see claims 1 4 5	S CHRISTOPHER EDWARD AND UNISERVICES) 1-7 09)
paragraph, page 6 line  SARA V R ET AL: "THE TRUNCATED INSULIN-LIKE THE TRIPEPTIDE GPE IN SYSTEM"  ANNALS OF THE NEW YORK SCIENCES, NEW YORK ACAI NEW YORK, NY, US, vol. 692, 1991, pages 1 ISSN: 0077-8923  * see pages 187-189 *	BIOLOGICAL ROLE OF GROWTH FACTOR-1 AND THE CENTRAL NERVOUS  ACADEMY OF EMY OF SCIENCES

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International application No. PCT/US 02/16609

This In			<b>-</b>	FC1/US 02/166	03
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1 1115 1116	rnational Search		ere found unsearchable (Contir	nuation of item 1 of first shee	et)
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